

PDHonline Course P144 (4 PDH)

Managing Change - Leader's and Employee's Perspective

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2012

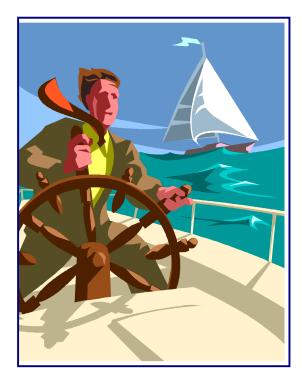
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-THE LEADER'S PERSPECTIVE-

(The Times, They Are A Changin')



"I can't change the direction of the wind, but I can adjust my sails to always reach my destination." -Jimmy Dean American Actor, Country Singer



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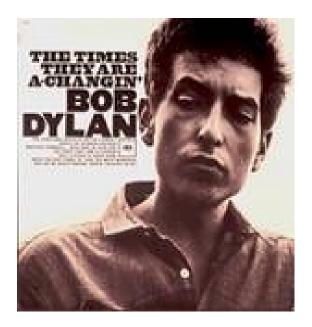
Introduction

This class will help you deal with pending changes in the workplace as well as provide you with an effective strategy for use when helping your employees through those changes.

Participants in this course will learn:

- 1. How to learn from past change events the skills, knowledge, or attitudes that can help with future change.
- How to identify the "changeless core" that is within them in preparation for future change.
- 3. How to assimilate a "temp's attitude" when searching for work.
- 4. Why some organizations grow stronger from change and why others atrophy and die.
- 5. How to apply the "Stockdale Paradox" to their changing world.
- 6. How to implement a "blueprint for change" effectively in their life or in that of their workgroup.
- 7. How to assess objectively the existing talent available within yourself or your workgroup.
- 8. How to get an assessment of your skills and abilities from your employees.
- 9. How to do a "SWOT" analysis to develop a strategy for the future.
- 10. How to implement the results of that SWOT analysis to minimize the impact of pending change.
- 11. How to harness the power of imagination for a successful change.
- 12. How to apply the "lessons from a Kool-Aid stand" to a changing environment.
- 13. How to build momentum in the change.
- 14. How to implement your strategy for change.
- 15. How to preserve the results of all of your work through the change.

"The Times, They Are a Changin"



Come gather 'round people Wherever you roam, And admit that the waters around you have grown, And accept it that soon you'll be drenched to the bone. If your time to you is worth savin', Then you better start swimmin' or you'll sink like a stone **for the times they are a-changin'**.

Come writers and critics who prophesize with your pen,

And keep your eyes wide the chance won't come again

And don't speak too soon for the wheel's still in spin,

And there's no tellin' who that it's namin'. For the loser now will be later to win **For the times they are a-changin'.**

Come senators, congressmen please heed the call, don't stand in the doorway, don't block up the hall For he that gets hurt, will be he who has stalled,

there's a battle outside and it is ragin'. It'll soon shake your windows, and rattle your walls

For the times they are a-changin'.

Come mothers and fathers throughout the land, and don't criticize, what you can't understand Your sons and your daughters are beyond your command Your old road is rapidly agin'. Please get out of the new one if you can't lend your hand **For the times they are a-changin'.**

The line it is drawn, the curse it is cast The slow one now, will later be fast As the present now, will later be past The order is rapidly fadin'. And the first one now will later be last **For the times they are a-changin'.**

-Bob Dylan, 1964

This song was written and published in 1964. Why was it appropriate for that time in the US?

[It was a time of great social and political turmoil in the nation. Events like these were changing the national landscape:

- The Civil Rights Movement was gaining momentum M.L. King's "I Have a Dream" speech in 1963
- ✓ The escalating Vietnam military involvement was getting more and more headlines
- President Kennedy had been assassinated 2 years before politics were more news than before
- ✓ The Cold War was heating up the Cuban Missile crisis was less than 2 years old
- ✓ Music was changing radically into "sex, drugs, and rock and roll"
- President Lyndon B. Johnson's "Great Society" was created and massive social programs began
- College students were becoming more involved in social issues which was covered by more news which stimulated more protests which drew more news coverage...]

Are any parts of it ageless? Why or why not?

[There are no right or wrong answers for this part – it's only here to get you thinking about it from your perspective.]

What parts, if any, of it relate to our workplace today and why?

[There are no right or wrong answers for this part – it is only here to get you thinking about it from your perspective.]

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The Nature of Change

How would you describe your feeling about changes like these?

- 1. An unavoidable change in *where you live* but not *where you work*
- 2. An unavoidable change in *what* you do at work but not in *where* you work

3. An unavoidable change in where you live, where you work, and what you do at work

Which of those three would be the *easiest* change for you? Why?

Which would be the *most difficult*? Why?

What is there *within yourself* that could lessen the negative impact of any of those changes in your life?

"Life is change. Growth is optional. Choose wisely." [Karen Kaiser Clark]

How would that quote relate to the three change situations listed above and the question that follows them?

[We cannot influence whether something outside of our control changes but we CAN influence how we deal with it.]

Describe a situation in your life that involved an unavoidable change and how you chose to grow from it.



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"People cannot live with change to the ability to change is a chan what you value."		
	L V	American Speaker, Trainer, s of Highly Effective People''
Let us assume this statement is true and	d think about your personal "cha	ngeless core".
What talents, skills, and or knowledg working or what you are doing?	ge do you <u>always have</u> within you	regardless of where you are
What values do you have that remain a whom you are with?	constant regardless of where you	are, what you are doing, or
What are the words or terms you would	d like to hear someone use when	describing your work habits?
Why do you think we are asking these	questions in a course about chan	ge?
[If we do not know what our unchat then we will have no source of inter the storms of change blow us throu sooner we will be able to withstand	rnal refuge, or a 'safe harbor' gh life. The sooner we know w	within which to anchor while hat they are within us, the

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Do skilled "temps" have troubled being placed by agencies for part-

time work? Why?

[Usually not because their skills are easily transferable and highly valued by a wide variety of employers.

For example, engineers have more to offer than just the technical skills of their discipline. Simply being an engineer means they probably have the skill to analyze a situation objectively, weigh alternatives, conduct a risk analysis, plan

their time, meet schedules, multi-task, etc.

If we are confident in our skills and realize they can be utilized in a wide range of situations – like temps realize -, then change holds fewer fears for us.]

What skills do you have that are easily transferable and adaptable to a wide variety of situations?

(You may have a lot more than you realize. Do not hesitate to ask people who know you to help you identify skills they think you have. They may see things that you do not!)

A #1 best-selling business book, "Good To Great, Why Some Companies Make The Leap And Others Don't"¹ said that good-to-great leaders understood three simple truths about human potential in the face of change:

 If you begin with the "who" instead of the "what", you'll adapt better to a changing world. [Why?]

[Talented people – the "who" - have the instinct and ability to call upon their personal skills and knowledge to make the best out of an unknown situation. This is a reason for the US Marines recruiting slogan, "We're looking for a few good men (and women)".

The contrast, trying to plan for what will happened in the change – the "what" – , is risky because it is unlikely that it will unfold exactly as you anticipated.]

2. If you have the **right people** with you, the problem of how to motivate and manage them through the change largely goes away. [Why?]

[This is a continuation of the previous question. The right people become engrossed in calling on their talents to solve the problem. They do not need external motivation and management because they are managing themselves.]

3. If you have the **wrong people**, it does not matter if you have a great vision of the future, you still will not be a great company! [Why?]

[The wrong people will get caught up in shallow issues such as who has the bigger office, who's getting more status, who's getting more than they are, etc. They will never be as fully satisfied as the people who are fully engaged in using their talents to achieve all they can for the sake of self-satisfaction and accomplishment.]

¹ Jim Collins, ©2001, ISBN 0-06-662099-6, HarperCollins Publishers, Inc., New York, page 42



The case study used was about Wells Fargo bank. Their CEO in the early 1970s knew that banking deregulation was on the horizon but he did not know specifically what it would bring to the industry. So instead of worrying about what the future

may bring, he hired the best people he could find as leaders (*even if there wasn't an opening immediately available for some of them*) because he believed that the right people could help the bank make the most out of whatever challenges and opportunities came along!

"If I'm not smart enough to see the changes that are coming, they will. And they'll be flexible enough to deal with them!" [CEO Dick Cooley] During this time, when its sector of the banking industry had dropped 59% below the stock market, Well Fargo outperformed the market by over three times!

How would this example at Wells Fargo be useful for a department manager expecting changes to occur in the not-too-distant future? (Especially if they were not sure, <u>exactly</u> what the changes would look like.)



[This is exactly what we described on the previous page about having the RIGHT people.]

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The Real World – Right Now

"The search for static security -- in the law and elsewhere -- is misguided. The fact is security can only be achieved through constant change, adapting old ideas that have outlived their usefulness to current facts."

-William O. Douglas 1898-1980, American Supreme Court Justice

The Stockdale Paradox²



Vice Admiral James Bond Stockdale, USN, retired, served on active duty in the regular Navy for 37 years, most of those years at sea as a fighter pilot aboard aircraft carriers. Shot down on his third combat tour over North Vietnam, he was the senior naval service prisoner of war in Hanoi for 7-1/2 years - tortured 15 times, in solitary confinement for four years, leg irons for two.

The author of "Good to Great" asked Admiral Stockdale which prisoners did not make it through the years of prisoner-of-war confinement. His reply, "Oh, that's easy. The optimists!"

When the author admitted he did not understand, the Admiral clarified by saying, "The optimists were the ones who said, 'We're going to be out by Christmas.' And Christmas would come and go. Then they would say, 'We'll be out by Easter.' And Easter would come and go. And then it would be Thanksgiving and Christmas again. And they died of a broken heart."

Then he turned to the author and said, "This is a very important lesson. You must never confuse faith that you will prevail in the end – which you can never afford to lose – with the discipline to confront the most brutal facts of your current reality, whatever they may be."

The Stockdale Paradox

Retain faith that you will prevail in the end, regardless of the difficulties AND AT THE SAME TIME confront the most brutal facts of your current reality whatever they may be.

² ibid. pp 84-86

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Think of a major life-changing event that you have experienced. What were some of the "brutal facts" associated with it?

How did the way you chose to deal with those brutal facts impact the eventual outcome of the event on your life?

What lessons did you learn from it?

Would the "Stockdale Paradox" be useful in helping your department deal with pending change?

Why or why not?

[Yes because it reminds people of the brutal facts – things ARE changing – and not to get caught up in rumors. Focus on the constant skills, abilities, values, etc. within us as mentioned earlier in the reference to Stephen Covey on page 7 and we will have a better chance of surviving WHATEVER HAPPENS than by trying to create false milestones such as the prisoners did.]

If you think it would, how would you present it to your associates?

[If you hear them betting strongly or rumors and speculation, tell them about the "Stockdale paradox" and how the Vietnam prisoners survived. Confronting brutal reality is better in the long term that getting their hopes up just to be dashed to pieces.]

How would you help them apply it to their workplace reality?

[Make a flipchart with two columns: WHAT WE THINK and WHAT WE KNOW. Encourage them to focus on the latter and disregard the former!]

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A Blueprint For Change

1. Help them (and you) prepare for the change

- **a.** Announce it
- **b.** Discuss it
 - i. Reasons
 - ii. Benefits
 - iii. Obstacles
 - iv. Risks if change doesn't happen
 - 1. To organization
 - 2. To them
 - v. What isn't changing

2. Assess the talent within your group

- a. Your assessment of them (and yourself)
- b. Their assessment of YOU
- c. Their assessment of themselves







- **3.** Create a strategy for the future by doing a SWOT analysis with your department
- 4. Build Momentum



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Help Them (and you) Prepare For The Change

"No one can persuade another to change. Each of us guards a gate of change that can only be opened from the inside. We cannot open the gate of another, either by argument or emotional appeal."

-Marilyn Ferguson American Writer

How does this statement apply to a pending change in your department?

[People have to allow themselves to deal with the change. Someone else cannot drag them through it and expect they will fully accept it and work effectively. Refer them back to the "Nature of Change" on page 6 and the quote. They have to find a way to deal with the change if they want to move forward into the new situation.]

Announce It

- 1) Lead your department through the employee's version of this course
- Inform your employees about the pending change with as much FACTS (not guesses) as possible. [Do not announce it unless you will have time to also.....

Discuss It

- 1) Talk about the **business reasons** for the change.
- 2) Ask them to identify some **benefits** that may come to them because of the change. (You will be expanding on this in the next section, "*Assess The Talent Within Your Group*".)
- 3) Ask them to identify realistically the **obstacles** that exist. Do not be afraid to let them discuss their fears.
 - a) Remind them about the "Stockdale Paradox" (page 11) that was discussed in their training class.
 - b) Refer them back to Karen Clark's comment on page 5 and remind them about their choices.
- 4) Ask them to identify **risks** that arise if the change does not occur:
 - a) Risks to the organization
 - b) Risks to their career growth
- 5) Tell them what IS NOT CHANGING

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Assess The Talent Available

Your Assessment Of Them (And Yourself)



Southwest Airlines says, "Hire for attitude, train for skill".³ How does that

philosophy apply to pending change in the workplace?

[People's nature is within them, for the most part, and cannot be controlled from the outside without a lot of sustained effort – and even then, it may be transient. For example, if you need customer service employees, look for types that have a caring want-to-help attitude and then train them about your product.

The converse, hiring a subject matter expert who cannot get along with people, and trying to train them to be friendly and helpful will not be very successful.]

Should you mention this philosophy to your employees?

Why or why not?

[How can it do any harm?]

NAME OF ASSOCIATE	STRONGEST WORK SKILLS	MOST EFFECTIVE PERSONALITY TRAITS	WEAKEST WORK SKILLS	LEAST EFFECTIVE PERSONALITY TRAITS	SUGGESTED DEVELOPMENT ACTIVITIES
	(Use th	is model on addition	nal paper as ne	ecessary.)	

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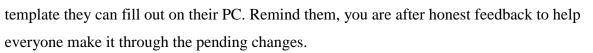
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³ "Nuts! Southwest Airlines' Crazy Recipe for Business and Personal Success", Kevin and Jackie Freiberg, Bard Books, 1996

Their Assessment of You

Although it takes a certain amount of courage to ask this question of your employees, the feedback is valuable and will help you lead your department's transition through the changes.

Also, some may be reluctant to fill the form out in <u>any</u> manner or reluctant to fill it out in their own handwriting. If you think there will be any reluctance, offer to provide them with a



Please help me be the best leader I can to help our department through the pending changes by telling me what I should STOP doing, should START doing, or CONTINUE doing as we go through this time together.

Please **STOP** doing these things:

Please **START** doing these things:

Please **CONTINUE** doing these things:

An easy way to <u>maximize your credibility</u> with your department is to **publish** their comments and discuss them as a group. What advantages or disadvantages are associated with this?

[It shows them you are trying to be as open and honest with them as you can. This is an excellent way to gain – or strengthen - their confidence in you.]

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Their Assessment of Themselves

Ask your employees to do a self-assessment using this format.

STRONGEST WORK SKILLS	MOST EFFECTIVE PERSONALITY TRAITS	WEAKEST WORK SKILLS	LEAST EFFECTIVE PERSONALITY TRAITS	DEVELOPMENTAL ACTIVITIES TO HELP ME GROW
	(Use this mo	odel on additional p	paper as necessary.)	

Encourage them to meet with you individually to share their assessment of themselves with

your assessment of them.

What advantages or disadvantages are associated with this activity?

[Advantages are that it gives them a chance to see themselves through your eyes. "If the boss thinks I'm good at this – or need improvement – it must be true.



Also, it gives you the chance to see what they think of themselves. If you and they agree on topics, they must have a realistic view of themselves. But, if they see a trait as a strength but you see it as a weakness, it's an excellent chance to help them correct.

And, it may help explain why they keep making the same mistake if they think they are good at it but, in reality, they are NOT so good.]

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Develop A Strategy For The Future

WORK WITH YOUR TEAM TO DEVELOP A STRATEGY THAT UTILIZES ALL THE SKILLS AND TALENT AVAILABLE.

1) Do a "SWOT" analysis with your department.

SWOT =

- a) **<u>S</u>TRENGTH**
- b) \underline{W} EAKNESSES
- c) **<u>O</u>PPORTUNITIES**
- d) $\underline{\mathbf{T}}$ HREATS



Be sure to use letters and numbers under each part of the analysis grid. You will see why in the next section.

OUR DEPARTMENT'S "SWOT" ANALYSIS

(One item per square)

STRENGTHS WE HAVE AS A GROUP FACING THESE CHANGES	WEAKNESSES WE HAVE AS A GROUP FACING THESE CHANGES	OPPORTUNITIES FOR OUR GROUP AS A RESULT OF THESE CHANGES	THREATS TO OUR GROUP AS A RESULT OF THESE CHANGES
S1 We have high morale.	W1 We are a "support" group and maybe seen as "non- critical" by some.	O1 We may be able to get into new career paths and skills.	T1 We may be "broken up" and have to adjust to new schedules, locations, and coworkers.
S2 We have excellent customer service skills and ratings.	W2 Our function is non- technical and can be outsourced	O2 Our reputation makes us desirable by other customer-service groups	T2 We may have to learn new technical skills and may not be as proficient as we once were
S3 S4	W3 W4	03	T3 T4
S5	W5	05	T5

Use this model on flip charts in your department's strategy meeting.

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Map a strategy for your department based on the SWOT analysis

Our Department's Strategy To Minimize Impact



The letters and numbers at the top of this grid refer back to the SWOT analysis on the previous page. That is why you numbered them!

THINGS WE CAN DO AS A DEPARTMENT TO MINIMIZE THE IMPACT OF THE CHANGE	S 1	S 2	S 3	S 4	S 5	W 1	W 2	W 3	W 4	W 5	0 1	0 2	0 3		05	Т 1	Т 2	Т 3	Т 4	Т 5
We can create a departmental "search firm" to discover what bank departments within our geographic area would benefit from employees with our CS skills.		X					_				X			-		X	_			
Some of us are proficient in PC skills. They can teach others during "lunch-and-learn" sessions to increase our employability.						X	X										Х			

You continue adding **"things we can do"** until you have capitalized on all of the strengths and opportunities while addressing the weaknesses and threats by putting an "X" in the column under the appropriate entry from the SWOT analysis.

A glance at the grid above will tell you if you have failed to address any issue because there will be SWOT elements without an "X" under it.

You **DO NOT** have to have an equal number of Xs under each column heading. Just make sure you have enough that your department feels comfortable about making sufficient efforts to be **PROACTIVE** and acting on their own future instead of becoming **VICTIMS** to change.

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The Power of Imagination



"All meaningful and lasting change starts first in your imagination and then works its way out. Imagination is more important than knowledge." -Albert Einstein 1879-1955, German-born American Physicist How does Einstein's statement about <u>imagination</u> relate to working with your employees to develop a strategy for the future (page 18)?

[If you encourage their imagination by getting them involved in helping prepare for the future of our department, they become more focused on "proactive thinking" than passive or reactive worrying, gossiping, or fretting about what may happen.

This proactive initiative will help keep their morale high and motivated as "we all get involved in our survival" instead of the many begging the few to "save them".]

Lessons From A Kool-Aid Stand

Many summertime neighborhoods have seen an imaginative child set up a Kool-Aid stand in front of their house to raise money for personal or charitable reasons.

If you watch long enough, there will only be the original "mini-Trump" (and maybe a brother or sister) patiently hawking their drinks trying to make the first sale. But, as soon as a customer or two stops and buys a cup, an amazing thing happens. All of the other neighborhood children,



who either did not have the imagination or courage to set up their own stand, suddenly appear and want to be in on it. It is human nature that unexpected success by a courageous trailblazer will always open the floodgates to the "me, too" mentality of the masses.

How would this "Kool-Aid Lesson" apply to getting your employees engaged in developing a strategy for evolving through the change instead of their taking a victim's attitude?

[Success breed more involvement and success. When the many see the few being successful working within the change, the "me, too" mentality takes over and everyone gets into the act.]

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Build Momentum

Which is the best strategy to use when creating a vegetable garden?



- 1. Prepare the ground, plant the seeds, cover them, fertilize and water them, and be patient while Nature takes its course.
- 2. Prepare the ground, plant the seeds, cover them, fertilize and water them, and dig them up frequently to see how they are doing.

The "overnight success" of a successful vegetable garden obviously does not happen overnight but only after a series of planned and consistent activities taken by the gardener that allowed *incremental growth* to occur.



How would the "vegetable garden" example apply to the successful conversion of a <u>strategy for change</u> into a successful change?

[A leader must be confident of what they are doing as they "plant seeds" for change. They must then act with complete faith the seeds will grow and move ahead accordingly. If leaders act like they are unsure – digging up the seeds to see if they're growing – the uncertainty will be noticed by the employees and the momentum for change will slow.]

"We didn't talk so much of change. We recognized early on not so much we needed to change, but

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that we needed to evolve, which recognizes that we've got to do things differently. We realized that evolution is a whole different concept than change."⁴

How could the concept of "evolving by doing things differently" help change occur more easily in your department?

[Each leader must answer this for themselves.]

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⁴ "Good To Great: Why Some Companies Make The Leap And Others Don't", Jim Collins, ©2001, ISBN 0-06-662099-6, HarperCollins Publishers, Inc., New York, page 171

"The good-to-great companies had no name for their transformations. There was no launch event, no tag line, no programmatic feel whatsoever. Some executives said they weren't even aware that a major transformation was under way until they were well into it.

Then it began to dawn on us: There was no miracle moment! Rather, it was a quiet, deliberate process of **figuring out what needed to be done to create the best future results**, AND THEN SIMPLY TAKING THOSE STEPS, ONE AFTER THE OTHER, until we were where we wanted to be."⁵

How can that approach of *"figuring out what needed to be done to create the best future results"* work for your department?

["Being as ready as we can be for *whatever* comes" gives you a better chance for survival than trying to prepare for specific events that may or may not occur.]

What would stop you?

How would you overcome those obstacles?

Who could help you overcome them?

⁵ Ibid, page 169

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Implementing Your Strategy

This outline can help a department develop their strategy.

.....

SAMPLE: Developing a strategy to deal with this major event:

Outsourcing Of Our Department In 4 Months - Our Jobs Go Away!

F	actors That Contribute To Success]	Facto	ors '	Tha	t Co	ontr	ibut	te T	'o Fa	ailu	re
1 Everyone finds another job with the company within a 30 mile radius of our current location			Bad n "victin							ing li	ke	
2	Our reputation as a high-quality, customer service group	В	Our c wants		it woi	k per	forma	nce d	rops	and n	o one	
3	Strong morale & a "teamwork" attitude	С	Poor	comr	nunic	ations	s on w	hat is	hap	pening	g	
4	Everyone improves or develops skills that are attractive to other departments within the company.	D	"Ever teamv			thems	elves'	' attit	udes	devel	op an	đ
5		Е										
	tics we can use to encourage success and avoid failu	re	1	2	3	4	5	Α	B	С	D	E
	levelop an internal "talent marketing group" that thes for possible fits for our employees		Х	Х	Х			Х			Х	
	s training so some can cover for others while they get ing to improve marketable skills				Х	Х		Х	Х		Х	
A "c	ommunications source" who keeps us current on the ges so we always know what is going on							X		Х	Х	
Our	dept. manager contacts HR to ask if we can get erence for seating in classes to build skills					Х		X			Х	

[Work with your department to come up with the "tactics we can use to encourage success and avoid failure" because their participation means, in their own minds, they are proactively involved and setting around waiting to become victims.]

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Factors That Contribute To Success Factors That Contribute To Fail							ailu	re				
1		Α										
2		В										
3		C										
4		D										
5		Е										
Tacti	cs we can use to encourage success and avoid fai	lure	1	2	3	4	5	Α	B	С	D	Е

Fac	tors That Contribute To Success	F	Factors That Contribute To Failure								re	
1		А										
2		В										
3		С										
4		D										
5		Е										
Tactio	es we can use to encourage success and avoid fai	lure	1	2	3	4	5	Α	B	С	D	Ε

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Preserve Results



Here is a simple way to begin the evolutionary change in your department and preserve the results for the future.

- Look at the strategy you developed with your employees where you listed the "things we can do as a department to minimize the impact of the change" back on page 19.
- 2. Ask for volunteers (or assign if none step up) from your team leaders (or those with the potential to be leaders) to act as "project managers" to take one of the "things we can do as a department" and implement the activities you identified in your strategic plan on page 23.
- 3. Meet with your "project managers" weekly as a group to communicate progress, deal with new issues, modify plans to accommodate new obstacles, and develop new tasks for going ahead.
- 4. Meet with your department weekly and have the "project managers" talk about what they have done so far, plan to do next, and answer any questions that come up. During these meetings, it would be smart to have the people who have taken skills building classes to talk about what they have learned and how they will implement it.
- 5. Maintain this process of weekly communication with your department, keep the "project managers" involved in their projects (and new ones as they come along) and you will discover there is a lot more talent in your department than you ever imagined.

Also, IT IS HARD FOR THEM TO COMPLAIN ABOUT A PROGRAM THAT THEY HELPED TO DESIGN AND IMPLEMENT! Your departmental morale will not suffer during these trying times and you will have shifted a lot of weight from your shoulders to theirs. **After all, one of the key responsibilities of a leader is to develop your people!**

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